

May 10, 1999

The Honorable Slade Gorton  
United States Senator  
730 Hart Senate Office Building,  
Washington, D.C. 20515

Dear Senator Gorton:

We met with you last October to discuss the future of salmon hatcheries in Washington State. A major concern was that natural stocks of chinook and coho are depleted and unable to support fisheries for a decade or more, so the continued existence of fisheries must depend upon hatchery fish. However, hatcheries have been accused of contributing to the problems of the natural fish, which are now listed under the Endangered Species Act and require protection. You directed us to examine the potentials for using hatcheries to support fisheries while simultaneously aiding, and not hindering, recovery of natural stocks.

Enclosed you will find a document, *The Reform of Salmon and Steelhead Hatcheries in Puget Sound and Coastal Washington to Recover Natural Stocks while Providing Fisheries*, which describes a process we believe can accomplish the desired objectives. Fundamentally, it requires that goals be established for each hatchery relating to natural stock recovery or fishery augmentation, that these programs be scientifically founded and evaluated, that independent scientists interact with agency scientists to provide direction and operational guidelines, and that the system as a whole be audited for effectiveness.

Our proposal has been reviewed by other scientists and personnel from the fish agencies and managers in the area involved. We wanted input about major concerns so we could either change our document or prepare for eventual response, and we wanted hatchery policy makers to be familiar with our efforts. We received many comments, none of them in our judgment indicating either fatal flaws or even major differences. There were abundant editorial suggestions, but our concerns focussed on technical issues rather than style.

As you know, our group is diverse with individuals chosen because of knowledge about hatcheries, whose employers have different goals and histories of discord. Because we were acting without agency directive, there was initially a question about whether these individuals would interact supportively. Apprehension quickly disappeared, and the group worked intensely to produce a consensus document. In retrospect, the group showed an astonishing enthusiasm for two reasons: we were all convinced that the objectives could and should be accomplished, and your clear statement of support gave us the unusual feeling that, properly done, they would be accomplished.

We conclude that reforming hatcheries can have a major effect in solving our salmon problems at a cost that is small relative to other components such as environmental improvements, with results expected in a few years rather than decades. A key concept was identifying the fundamental changes needed to allow application now rather than years in the future. We expect the usual processes under the ESA would ultimately reach conclusions similar to ours, with a lapse of years that would prove very costly.

The team greatly appreciates this opportunity, and we will stand by to assist in any way we can.

Respectfully yours,

Peter K. Bergman, for:

*Gorton Science Advisory Team*

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CC: Team

# **THE REFORM OF SALMON AND STEELHEAD HATCHERIES IN PUGET SOUND AND COASTAL WASHINGTON TO RECOVER NATURAL STOCKS WHILE PROVIDING FISHERIES**

**Gorton Science Advisory Team  
May 7, 1999**

## **GOALS:**

**Goals of hatchery reform are to conserve indigenous genetic resources, assist with the recovery of naturally spawning populations, provide for sustainable fisheries, conduct scientific research, and improve the quality and cost-effectiveness of hatchery programs.**

## **I. INTRODUCTION.**

Approximately one hundred anadromous fish hatchery facilities currently exist in Puget Sound and Coastal Washington. These facilities produce more than one hundred million juvenile salmon and steelhead annually. The primary purposes of these facilities have been to produce fish for fisheries and compensate for declines in naturally spawning populations negatively impacted by land-use practices, water diversions, overfishing, and urbanization.

Hatcheries have had considerable success at producing harvestable fish, and in most instances, hatchery stocks provide the larger proportions of catches in sport, commercial, and tribal fisheries. To take advantage of harvestable hatchery fish and maintain some harvest on wild stocks, the State and Tribes developed a management system that included both wild and hatchery management regions. Fisheries on mixtures of wild and hatchery stocks were managed at levels for the weakest wild management unit. Terminal fisheries were designed to harvest higher proportions of hatchery fish. Variable ocean survival coupled with rapidly degrading habitat led to overestimates of harvestable adults, which resulted in overharvest of wild stocks. Thus, one of the problems which has depleted natural stocks derives from their interaction with hatchery fish in mixed stock fisheries. This overexploitation, together with environmental deterioration, has reduced the abundance of natural stocks of salmon and steelhead to the point where many populations are listed, or are proposed for listing, under the Endangered Species Act (ESA).

The artificial rearing environments of hatcheries can yield fish that differ behaviorally or biologically from their wild counterparts. As a consequence, these effects may reduce the survival or reproductive success of hatchery-origin fish, relative to wild fish, under natural conditions. A major unknown is whether hatchery-origin fish directly affect the survival or fitness of naturally spawning fish, either through direct interbreeding or indirectly through ecological interactions. Future use of hatcheries as a fishery management tool will require close monitoring and evaluation of genetic and ecological interactions between hatchery and wild fish.

In addition to the historic role of hatcheries augmenting fisheries, the conservation role of salmon hatcheries is increasing in the Pacific Northwest. These conservation roles can take on many

forms (e.g. traditional hatch, rear and release, or some form of captive propagation), but the one underlying principle that unites all such programs is the goal to minimize genetic changes between fish spawned in a hatchery and those spawning naturally.

The future role of hatcheries will depend upon their locations, rearing conditions, and other factors relating to their capabilities. Some hatchery programs will have multiple roles. Regional fishery plans, currently being developed by agencies and tribes, will address a broad range of issues including habitat, fisheries, and hatcheries that incorporate reform. However, timeliness is critical to salmon recovery, and important actions regarding hatchery reform can, and should, be started immediately. It is also imperative that harvest issues continue to be resolved to allow hatcheries to maintain their traditional role of producing fish for fisheries.

The basis for hatchery reform and retrofitting should be the best scientific information available, and scientific studies must be expanded as a means to adaptively manage the hatchery system. Budgetary costs will increase for accelerated scientific learning, but the costs will be small relative to other costs such as freshwater habitat rehabilitation. In addition, the time span for major results from hatchery reform will be shorter than those associated with habitat rehabilitation. There will also be costs to integrate conservation objectives with existing or new programs, either by conversion of existing facilities or new construction.

We believe there is no need for further debate regarding hatchery reform. Four recent independent scientific reviews of the hatchery system of the Columbia River are consistent in concluding the general nature of needed changes.' While none of these studies was directed toward Puget Sound and Coastal Washington, many of the problems are similar,

The purposes of this proposal are to initiate the development of guidelines for hatchery management, recommend actions which will use hatcheries to directly support fisheries, assist with the recovery of natural stocks, and minimize the potential negative effects of hatchery programs upon naturally spawning populations. Consideration should be given to using a few hatcheries for scientific research, although research in the form of monitoring and evaluation should be a part of all hatchery programs. This is a proposal to reform hatcheries and maintain fisheries by taking actions which are needed now.

## **II. RECOMMENDED ACTIONS TO ACHIEVE HATCHERY REFORM.**

Recovering natural salmon stocks while simultaneously providing fisheries supported by hatchery programs requires the continuing implementation of knowledge gained from experimentation in the following areas.

### **A. Improve Hatchery Programs and Facilities.**

Hatchery management programs need to incorporate recent advances in technology to protect genetic resources and improve survival of hatchery fish. These actions should:

1. Implement hatchery plans for each facility that include comprehensive goals, based on adult returns and an assessment of risks to natural stocks.

2. Prevent significant infusion of non-indigenous, non-adaptive genes into local natural stocks, and maintain indigenous genetic resources.
3. Discourage actions that result in selection for domestic traits of cultured fish in conservation programs.
4. Prevent loss of genetic diversity in hatchery stocks.
5. Avoid actions that result in detrimental ecological competition between natural and cultured fish.
6. Employ new rearing and release protocols demonstrated to improve survival of released fish and/or operational efficiencies of hatcheries.
7. Employ rearing and release protocols demonstrated to allow separation of natural and hatchery fish in fisheries and on spawning migrations.
8. Use hatcheries to assist with the recovery of naturally spawning populations when the biological benefits of artificial propagation are estimated to outweigh the risks.

Budgetary costs will be incurred to reform hatchery programs and retrofit facilities to achieve the objectives outlined above.

## **B. Evaluate and Monitor.**

Advancements in hatchery technology and productivity have been stymied by the tendency to adhere to the false assumption that large numbers of juvenile hatchery salmon released into the wild will automatically result in high adult returns. Hatchery goals must be shifted from production of juvenile fish to quantifiable measures of adult salmon and steelhead added to appropriate fisheries, rebuilding of wild stocks, and other social values. Specific information needs include contribution of hatchery-origin fish to fisheries, incidental catch or harvests of wild fish, biological effects of time, location, or gear type in selecting for specific sizes or ages of fish in the fisheries, stray rates and locations of hatchery-origin fish, genetic contribution of hatchery-origin fish to naturally spawning populations, detail of releases from each hatchery, operational costs, positive and/or negative impacts on weak wild stocks, catches by fishery and an assessment of the net values resulting from the operation of the facility.

## **C. Conduct Scientific Experimentation.**

Fertile areas for experimentation include testing methods to condition hatchery fish for survival in the wild, the genetic and phenotypic consequences of hatchery culture and potential domestication, reproductive success (genetic contribution) of hatchery and hatchery-wild crosses in the wild, ecological and genetic effects of hatchery fish on naturally spawning populations, factors affecting straying and homing of hatchery-origin fish, nutrition and growth management, improvements in fish health, optimizing rearing densities, stock selection for optimum efficiency, evaluation of appropriate brood stocks for wild stock supplementation, rearing techniques to target specific fisheries, and increasing our knowledge regarding the overall impacts of hatchery programs on wild stocks. Funds for experimentation seeking to increase the overall effectiveness

of hatcheries have commonly been sacrificed in favor of maximizing quantities of fish released. Shifting to goals defined in measurable benefits will facilitate the evaluation and experimentation that is needed for increased efficiency and compatibility with wild stocks.

#### **D. Identify Hatchery-Origin Fish.**

Marking or tagging all hatchery-origin fish, or a major portion thereof, will allow convenient visual identification of hatchery and wild fish in fisheries and on natural spawning grounds, thus facilitating fisheries management and the monitoring of biological interactions between the two groups. If mixed stock fisheries are to be maintained in the near future, selective fisheries will have to be implemented.

#### **E. Institute Adaptive Management.**

Use of adaptive management is critically needed within the hatchery system. This requires scientific testing of hypotheses and incorporating new knowledge. The need to coordinate and implement existing and new information is essential for the success of salmon and steelhead hatcheries. Specific tasks and timetables have to be identified and funded. Structural changes within the hatcheries will be required to implement new strategies for rearing, separation of hatchery and wild fish, and to allow accessibility of hatchery juveniles for marking or tagging. Tracking hatchery reform and recovery of wild salmon and fisheries is essential for monitoring the progress toward planned objectives.

### **III. ORGANIZATIONAL STRUCTURE REQUIRED TO ACHIEVE HATCHERY GOALS.**

#### **A. Scientific Support and Training.**

Sufficient staff with proper coordination, training, funding, and facilities, will be required to achieve the goals outlined above. We call special attention to the need for increased technical and scientific training. Additional staff and facilities for the agencies and tribes will be required. Experts will be needed for experimental design, carrying out and analyzing experiments, direct technical support (e.g. in genetics, disease, nutrition, and physiology), and providing guidance to hatchery managers to adaptively manage the system.

#### **B. Hatchery Scientific Review Group.**

An important mechanism which needs to be established is a process for scientific review of hatchery operations. A need exists to establish a Hatchery Scientific Review Group (HSRG) to (a) produce guidelines and recommended actions for hatchery reform and (b) to ensure that the goals of hatchery reform are carried out. Success in this effort means that the hatchery management agencies concur with the recommended actions and implement changes. Accordingly, we believe the relevant agencies and tribes should have a significant role in the scientific review.

We propose that the HSRG consist of nine members with two components: five independent scientists who have no responsibility to the management agencies involved and four agency scientists with special, relevant skills. The geographical region we propose to encompass is the State of Washington exclusive of the Columbia River. Each of the fishery agencies operating hatcheries, or hatchery research facilities in this region (WDFW, TRIBES, NNES, and USFWS), would select one qualified scientist to serve on the HSRG. These scientists would be responsible for evaluating scientific merits and would not represent agency policies. They would be required to have technical skills in relevant fields such as fish biology or fish genetics, and some understanding of agency hatchery processes; administrative status within the agency would not be relevant. The five independent scientists would be selected initially by the Gorton Advisory Team from a pool of nine candidates nominated by the American Fisheries Society (AFS). When one of the independent scientists steps down, a replacement member would be selected by the HSRG from among a pool of three nominees provided by the AFS. The chair of the HSRG would be one of the independent scientists and should have experience in dealing effectively with complex, highly controversial scientific issues. The HSRG would be compensated for time and travel. The HSRG would submit a written report annually to the agencies, tribes and Congress, evaluating progress toward meeting goals, with areas of disagreement clearly and evenly presented. The purpose of the HSRG is not to debate whether or not hatcheries have a role in salmon management; the goal is to make hatcheries fulfill their objectives while minimizing negative impacts on natural stocks.

### **C. Hatchery Management Coordination.**

Many watersheds have multiple hatcheries run by different agencies and tribes. Hatchery operations must be coordinated within logical geographical management units. There is an ongoing process among the relevant agencies, driven by the urgency of ESA and need for stock recovery, to develop the needed management plans which include establishing the roles of hatcheries. It will be necessary for the relevant agencies to use existing coordinating mechanisms so that all hatcheries are managed consistent with these plans. Again, we do not suggest that the mechanisms we propose should interfere with this ongoing planning process, except that progress should be reviewed in terms of adequacy, timeliness, and consistency with the goals and guidelines developed by the HSRG.

## **IV. IMMEDIATE ACTION ITEMS.**

### **A. Hatchery Scientific Review Group.**

The selection process for this group can be started prior to funding. When funding is obtained, they will work with the agencies to prioritize needs and decide what actions can be initiated based on existing knowledge and available resources. They will review hatchery management plans for scientific consistency with hatchery reform goals, identify scientific needs, and recommend further experimentation.

### **B. Analysis and Evaluation.**

The independent scientists, agencies, and tribes need funding to establish a team of scientists to generate and maintain data bases that will grow as part of this effort, analyze existing data, determine and undertake needed experiments, purchase scientific equipment and develop technical support infrastructures, initiate changes to the hatcheries based on their findings, and establish a science-based decision making process. Because the system is interactive with the fisheries, the fishery impacts and benefits will be included in the analyses.

### **C. Changes in Hatchery Programs.**

Hatchery management plans should be implemented to augment fisheries, protect genetic resources, avoid negative ecological interactions between wild and hatchery fish, promote recovery of naturally spawning populations, and employ new rearing protocols to improve survival and operational efficiencies.

### **D. Budget.**

It is difficult to budget precisely for a science-based management system that is not yet designed. Conversely, budgeting initially for just a science-review/planning function to determine what ultimately are the funding requirements defeats the intent of acting as soon as possible. Accordingly, there should be funding so projects can be started as soon as the needs are determined.



### Suggested Budget for FY2000

1. Hatchery Scientific Review Group (III.B, IV. A.)	\$300,000
2. Agency scientists and assistants to support scientific decisions process (III.B., C.)	\$800,000
3. Improve hatchery practices (II.A.)	\$1,400,000
4. Implement scientific research (II.B., C.)	\$90,000

**TOTAL**

\$3,400,00

	<b>WDFW</b>	<b>NMFS</b>	<b>TRIBAL</b>	<b>USFWS</b>	<b>HSRG</b>	<b>TOTALS</b>
1. HSRG					300,000	\$300,000
2. Agency Sci.	400,000	100,000	200,000	100,000	0	800,000
3. Hat. Practices	655,000	0	655,000	90,000	0	1,400,000
4. Sci. Research	100,000	0	100,000	0	700,000	900,000
<b>TOTAL</b>	<b>1,155,000</b>	<b>100,00</b>	<b>955,000</b>	<b>190,000</b>	<b>1,000,000</b>	<b>\$3,400,00</b>

(1) HSRG determines studies for \$800,000

*Gorton Science Advisory Team*

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<sup>1</sup>Northwest Power Planning Council's (NPPC) Independent Science Group, 1996; The National Research Council, 1996; the National Fish Hatchery Review Panel, 1994; and the Scientific Review Team of the NPPC's Artificial Production Review, 1998.

United States Senate  
WASHINGTON, DC 20510-4701

December 14, 1999

Dear Friends,

I am pleased to announce the passage of the federal budget for fiscal year 2000, with all original appropriations for Puget Sound and Coastal Washington hatchery reform intact. The attached budget language outlines the goals of this initiative and how the \$3.6 million should be spent in accordance with those goals.

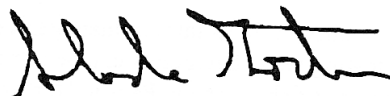
I would like to thank all those who have made this effort a reality, but especially the Gorton Science Advisory Team for the vision they have brought to this facet of salmon recovery, first culminating in the May report "*The Reform of Salmon and Steelhead Hatcheries in Puget Sound and Coastal Washington to Recover Natural Stocks While Providing Fisheries.*" Their leadership and persistence has produced a model, embodied in the Hatchery Science Review Group (HSRG), for implementation of the scientific principles laid out in the report. The team's ongoing support is appreciated as the HSRG takes shape, and I hope we can look to their expertise as this process gets underway.

I recognize that this will be a multi-year initiative, and commit my long term support, provided real progress is seen by next summer when the mid-year report will be made to Congress. This step is necessary to maintain Congressional support for hatchery reform, which is difficult with an item as regionally specific as this.

Finally, I want to recognize the support we have received from the recreational fishing community. They have been instrumental in educating the public on the necessity of hatchery reform, and I encourage them to remain engaged. The endorsements of Trout Unlimited, Northwest Marine Trade Association, the Seattle Poggie Club and others have all been appreciated.

Thank you again for your dedication to this very important undertaking. Please contact Kent Craford in my Bellevue office should you care to discuss this further.

Sincerely,



SLADE GORTON  
United States Senator

SG:zkc